

Introducing Lexis® Securities Mosaic® Research for Corporate Secretaries & Governance Professionals

The Lexis® Securities Mosaic® research platform and news service is an indispensable resource for corporate secretaries and governance professionals. With intuitive and versatile search capabilities for SEC filings, Comment Letters and No-Action Letters, federal laws and regulations, extensive federal agency rulemaking materials, and commentary provided from 100,000 law firm memos, Lexis Securities Mosaic offers an unrivaled balance between comprehensive content and affordability.

Keep Current

- Identify new Dodd-Frank rulemaking that's relevant to you, with topic-based searching, rule proposals mapped to the Act, searching by release date, effective date, comment period end date, and compliance date, and public comments mapped to rule proposals. You can also limit your search by agency or set up customizable daily alerts.
- Stay on top of the latest actions from the SEC and other federal agencies.
- Review commentary and analysis from the world press, law firms, and the industry's most trusted bloggers.

Private Placements

Introducing our new proprietary database of thousands of offering memoranda exempt from SEC registration under Rule 144A, Regulation D, or Regulation S.

- Includes both global and domestic offerings of both debt and equity securities.
- Filter by exemption type, security type, currency type, and party information, including issuer and law firm.

Comment Letters

- Filter your search to find letters referencing only specific subject form types
- With all letters in a correspondence thread displayed, you can see all the back-and-forth between the SEC and the issuer,
- Link to the particular filing that is the subject of the correspondence

No-Action Letters

Search by the requesting company, the subject category assigned to the letter by SEC (e.g., Regulation S-X, Investment Advisers Act of 1940), and the SEC Division that handles the request (Division of Corporation Finance, etc.),

- Filter by the SEC's stated position (you can include only letters in which the SEC is "unable to concur" with the request)
- Shareholder proposal-related letters (you can include or exclude requests)

SEC Disclosure & Transactions

- Complete collection of SEC EDGAR® filings, updated in real time.
- Robust search fields at both the filing and company levels complement full Boolean text search capabilities, helping you quickly find relevant language from SEC EDGAR filings. Filter by a filer's location, industry, financial characteristics, and index membership.
- Search at the exhibit level, allowing you to bypass the base filing and get precedent language for substantive documents by putting keywords—e.g., merger agreement—in our Exhibit Label text search box.
- Eliminate the clutter with item-level searching on major filings (10-K, 8-K, 10-Q, 20-F).
- For even more targeted searches, utilize additional search pages tailored to specific types of SEC filings, including:
 - A newly enhanced proprietary database of law firm relationships to identify firms that represent issuers.
 - Search pages dedicated to securities registrations, risk factors, SEC comment letters, and insider filings.

Law Firm Memos

This unrivaled database of expert commentary features almost 100,000 memos from the world's top legal and accounting firms. Keep up with the latest legal developments, or do in-depth research on special topics. Our memos now cover a broad range of 46 practice areas, from Accounting & Auditing to Trusts & Estates. You can also create daily email alerts by authoring firm, practice area, and text string.

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