



Disclosure & Transactions



Our suite of **Disclosure & Transactions** search pages has long been the anchor of our research platform. Use our **SEC Filings** page for powerful searching at both the filing and company levels; use our dedicated disclosure pages to drill down even deeper, to quickly find precious needles in the haystack of **SEC EDGAR filings**.

With our **SEC Filings search** page, you can:

- Use our new **IPO search** to view filings associated with initial public offerings, including registration statement, prospectus, and pricing supplement.
- **Target exhibits** to find agreements, contracts, correspondence, and other important documents.
- Search **10-K and 8-K items** to identify disclosure on legal proceedings, MD&A, D&O, executive compensation, beneficial ownership, financial statements, and more.
- Filter by a variety of **company characteristics**, including industry, index membership, location, and detailed financial information (market cap, revenue, etc.).
- Search on **investment company** and **mutual fund filers**; use our **mutual fund families** lookup; and search on form groups specific to **investment companies**.

In addition, our **Disclosure & Transactions** section features these complementary search pages:

- A newly enhanced proprietary database of **law firm relationships**, using new, advanced natural language processing algorithms to identify firms that have represented public companies for SEC filings.
- A refined and improved searchable **exempt offerings** database featuring all electronic filings of Form D.
- Search pages dedicated to **securities registrations, risk factors, and insider filings**.