

❖ Data Directory

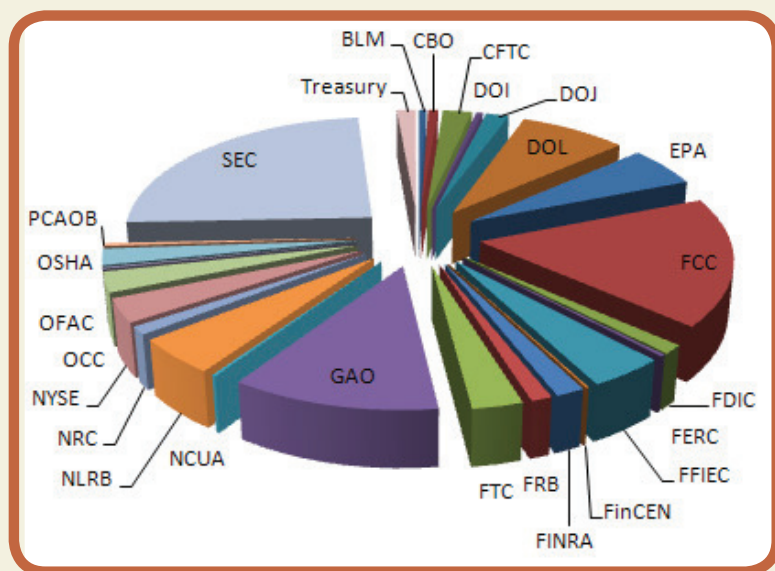
A complete guide to the materials available on **knowledgemosaic**

Be in the know with **knowledgemosaic**.

knowledgemosaic takes the torrent of information associated with heavily regulated industries—securities, energy, communications, finance, trade—and channels it. The result? Information that was once hard to find (or easy to miss) becomes **accessible and transparent**.

Over the years, we've established a presence as one of the most trusted sources for public company disclosure through **SEC EDGAR filings**.

But this is only the tip of the iceberg. Our mission is to organize information from across the **entire landscape of federal government data**—including legislation, regulation, guidance, enforcement, and court actions.



Our **agency materials** data are presented here according to the number of records per source.

We currently feature two dozen different federal regulatory and oversight bodies, including seven new ones **just added in May 2011**: the DOI, BLM, DOL, NLRB, OSHA, DOJ, and FTC.

Take a look inside for a complete inventory of the **broad range of data** we offer.

Data Directory

Agency/Source

Description

Includes

Banking

FRB

The **Federal Reserve Board** is charged with maintaining the stability of the nation's financial systems, and sets its monetary policy. Banking institutions are regulated by the FRB.

Enforcement releases, FRB Beige Book, press releases, FAQs

FDIC

In addition to guaranteeing deposits, the **Federal Deposit Insurance Corporation** acts as a watchdog over banks for consumers. It takes over banks that fail.

Advisory opinions, policy statements, financial institution letters

NCUA

The **National Credit Union Administration** is the equivalent of the FDIC for credit unions, insuring member funds and generally overseeing their soundness and solvency.

Regulatory alerts, speeches, legal opinion letters

Treasury

US Dept of Treasury

As manager of all federal funds, the **Department of Treasury** is essentially the nation's bookkeeper. Revenue (including taxes), public debt, banking, and fiscal policy all fall under its purview.

Reports, speeches, testimony, news releases

OFAC

The **Office of Foreign Assets Control** is responsible for the administration and enforcement of economic sanctions against foreign states, organizations, and individuals.

Enforcement actions, BSA guidance, rulings, testimony, reports to Congress

OCC

As the primary federal regulator of banks and financial institutions, the **Office of the Comptroller of the Currency** is charged with ensuring the soundness and accessibility of the national banking system.

Interpretive rulings, enforcement and other actions

Auditing & Oversight

GAO

The **Government Accountability Office** is sometimes referred to as the "Congressional watchdog" for its role as primary overseer of government performance and efficiency.

Reports, Comptroller General decisions and opinions

CBO

The **Congressional Budget Office** exists to provide economic data to Congress. This includes projections of national revenue, expenses, and debt.

Studies, reports, working papers, letters, testimony

PCAOB

Created by the Sarbanes-Oxley Act of 2002, the **Public Company Accounting Oversight Board** is essentially the auditor of the auditors, reviewing the work of public companies' accountants.

Inspection reports, disciplinary proceedings, final rules, concept releases

Securities & Trade

SEC

The **US Securities and Exchange Commission** oversees all aspects of trade involving securities. Its jurisdiction includes those who sell shares (public companies) as well as those who are otherwise involved in the industry (stock exchanges, SROs, broker-dealers, etc.).

EDGAR filings, ADV registrations, comment letters, no-action letters, enforcement, litigation releases, exemptive orders, complaints, C&DI

CFTC

The **Commodity Futures Trading Commission** is the primary regulator of futures contracts and options, playing a role similar to the SEC in a narrower arena.

Enforcement actions, exemptive letters, no-action letters, opinions

FINRA

Formerly the NASD, the **Financial Industry Regulatory Authority** is a major self-regulatory organization (SRO) governing the conduct of securities firms and exchanges operating in US markets.

Adjudication decisions, comment letters, disciplinary actions, rules

NYSE

In addition to being the world's largest stock exchange, the **New York Stock Exchange** is also a major self-regulatory organization (SRO), administering rules that are ultimately overseen by the SEC.

Disciplinary and arbitration decisions, interpretations, rules, rulemaking

FTC

The core mission of the **Federal Trade Commission** is to protect the American consumer. Accordingly, it keeps a watchful eye on business affairs and practices, and works with the Department of Justice to enforce antitrust laws.

Amicus briefs, reports, early termination notices, FOIA statements, HSR interpretations, speeches and testimony

	Agency/Source	Description	Includes
Communications	FCC	The Federal Communications Commission has jurisdiction over all forms of technological communication, including radio and television, telephone, wire, satellite, cable, and the Internet.	<i>Public notices, forms, news releases, speeches, texts</i>
	FERC	The production, sale, distribution, and consumption of all major sources of energy—electricity, oil, and natural gas—fall under the provenance of the Federal Energy Regulatory Commission .	<i>Accounting guidance, no-action letters, reports, material loss reviews</i>
	EPA	The task of the US Environmental Protection Agency is to safeguard the nation's air, land, and water, especially from toxins that would threaten human health.	<i>Reports, speeches, testimony, OIG materials</i>
Energy & Environmental	NRC	The Nuclear Regulatory Commission oversees all aspects of the production of nuclear power, including reactors, materials, and nuclear waste.	<i>Orders, papers, speeches, memoranda, correspondence</i>
	DOI	Programs and policies devoted to US land, sea, wildlife, natural resources, and native peoples are all administered by the US Department of the Interior .	<i>Editorials, memoranda, advisories, press releases, OIG materials, orders, speeches</i>
	BLM	The Bureau of Land Management , under the direction of the Department of the Interior, manages public lands in the US.	<i>Reports, testimony, memoranda, handbooks, news releases</i>
Labor & Employment	DOL	The Department of Labor's sphere of regulation includes wage and hour standards, unemployment insurance, and retirement income.	<i>Amicus briefs under ERISA, EBSA materials, EXPRO exemptions</i>
	NLRB	The primary responsibility of the National Labor Relations Board is to ensure the fairness of labor union representation and of labor practices within the US workplace.	<i>Decisions, reports, memos, news releases</i>
	OSHA	The Occupational Safety and Health Administration , an agency of the Department of Labor, is charged with ensuring workplace safety.	<i>Directives, guidance, advisories, interpretations, standards</i>
Investigation & Enforcement	DOJ	Led by the US Attorney General, the Department of Justice is the ultimate enforcer of federal law.	<i>Antitrust materials, appellate briefs, business review letters, FCPA enforcement actions</i>
	FinCEN	The Financial Crimes Enforcement Network monitors financial transactions around the globe to expose financial crimes such as money laundering.	<i>Alerts, bulletins, CRA interpretations, economic working papers, opinions</i>
Laws, Regulations, & Rulemaking	US Code	The US Code is the source for all “general and permanent” federal laws. Its 50 titles correspond to 50 different subject areas.	<i>All 50 titles of the current and complete US Code, with amendment history</i>
	CFR	The Code of Federal Regulations contains all “general and permanent” regulations at the federal level, which are the result of various agencies’ administration of federal laws through a process of rulemaking.	<i>All 50 titles of the current and complete CFR, including regulatory history</i>
	Federal Register	This is the official journal of the US Government. The proposed rules, final rules, and notices of hundreds of different agencies are published in the Federal Register .	<i>All volumes of the Register since 1998, current and complete</i>
	Public Laws	Legislation passed by both the House of Representatives and the Senate, unless it is vetoed by the President, becomes public law . Specific elements of public laws are codified in the US Code and CFR.	<i>Complete from the 104th Congress (1995-present)</i>
	Current Congress	Bills introduced on the floor of the House or Senate may or may not end up being signed into law, but all become part of the public record .	<i>Complete bills and legislation from the Current Congress</i>

The preceding is a snapshot of the more than **14 million discrete records** available in our database, representing a broad swath of the federal regulatory landscape.

But these are only the **raw materials**.

On top of this foundation, we add two crucial layers:

findability



Our records are tagged and indexed to allow for **faceted or field-based full text search**. You can construct extremely precise and targeted queries using specialized search pages for the data you need. You can even **save your searches** and **store your documents online**, keeping your research organized and efficient.

guidance and commentary



Our platform features a rich editorial layer, anchored by our unprecedented **Law Firm Memos** database and invigorated by our dynamic **Keep Current** page. These authoritative sources, both updated daily, are rounded out by **Knowledge Mosaic's** own editorial contributions, including our two blogs, **The Green MienSM** and **blogmosaicSM**.

Finally, give us a call and get a live voice for research assistance, training, and technical support—it's all part of your **knowledgemosaic** license.

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