

## ❖ What's New from Knowledge Mosaic, Spring 2011

### Keep Current

- **Dodd-Frank Rulemaking Tracker enhancements:**
  - Topic-based searching and alerting
  - Rule proposals now mapped to Act
  - Track by release date, effective date, comment period end date, and compliance date
  - Public comments mapped to rule proposals
- List of **recent Initial Public Offerings registrations:** keep up daily with the expanding list of IPO registrations on KM's new IPO list.

### SEC Disclosure & Transactions

We've made enhancements to the following search pages:

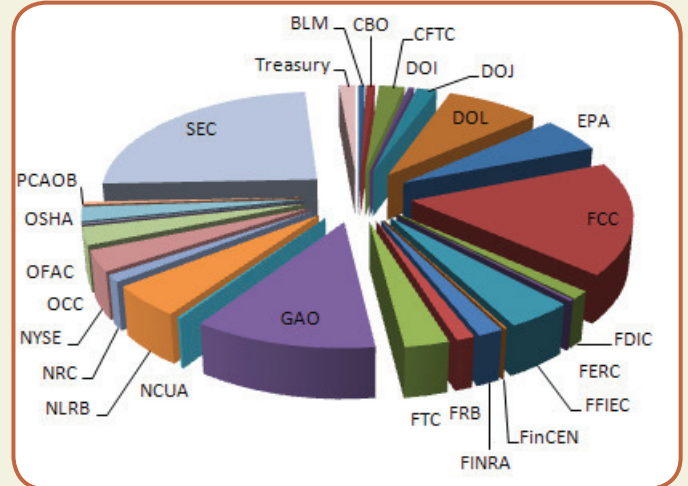
- **SEC Filings page:**
  - Limit your search to **specific 8-K and 10-K items** within those filings. Isolate disclosure on special events, legal proceedings, MD&A, D&O, executive compensation, beneficial ownership, financial statements, and more.
  - **XBRL financial data** now viewable in Excel format.
- **Risk Factors**
  - Optimized for speed.
  - Now supports full Boolean searching, including proximity operators and nested searching.
- **Form D Exempt Offerings search page:**
  - Isolate text search to **sales compensation names**; review **sales commissions** and **finders' fees**.
  - Limit results to offerings involving **non-accredited investors**.
- **Registrations Search:**
  - Representing law firm field now includes optional filter for **underwriter or issuer only**.
  - Results can now be exported to **Excel spreadsheet format**.
- **Law Firm Relationships:** We've made technical upgrades to enhance the **accuracy and comprehensiveness** of this data and to normalize the law firm roster. Export results into Excel spreadsheet format to allow **sorting and filtering** by law firm, industry, city or state, and type of transaction.



## Laws and Regulation

We've merged our agency materials and federal fundamentals search pages, presenting this entire **universe of federal data** in a single, unified view. On our new **Laws, Rules, and Agency Materials** search page, you'll find:

- The **entire US Code**, the **entire CFR**, the **Federal Register** going back to 1998, **public laws** and current **Congressional bills**, and data from about **two dozen federal regulatory and oversight agencies**—all searchable at once.
- **Text search** and **hierarchical browse** functionality available at every level of your search.
- **Dynamic faceting**: an easy-to-navigate sidebar shows you the number of results for each data source, helping you refine your search as you go.
- **Key Compilations**, which offer a traditional view of certain important laws and rules. The Securities Act of '33, Exchange Act of '34, Investment Company and Investment Adviser Acts of 1940, Commodity Exchange Act, and FINRA and NYSE rulebooks are all presented in their entirety in individual PDF documents.
- We've added data from additional federal oversight bodies, including the **Federal Trade Commission**, the **US Department of Justice**, the **Bureau of Land Management**, the **Department of the Interior**, the **National Labor Relations Board**, and the **Department of Labor**.



*New distribution of agency materials  
(by number of records)*

## Defendant Search

Our **SEC Defendant Search**, **SEC Investigation Disclosures**, and **FINRA Adjudication** search pages now have their own dedicated section.

Ready to find out more? Contact us.  
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